

## Rio Tinto Beneficial Ownership

The Rio Tinto Group consists of Rio Tinto plc, which is registered in England and Wales, and Rio Tinto Limited, which is registered in Australia. The principal market for Rio Tinto plc shares is the London Stock Exchange, with Rio Tinto plc American Depositary Receipts also listed on the New York Stock Exchange. Rio Tinto Limited shares are listed on the Australian Securities Exchange.

Under the UK Disclosure and Transparency Rules and the Australian Corporations Act 2001, any shareholder of Rio Tinto plc with voting rights of 3% or more, or any person with voting power of 5% or more in Rio Tinto Limited, is required to provide the relevant company with notice.

The shareholders who have provided this notice or an equivalent as of 5 February 2021 are:

Rio Tinto Company	Date of Notice	Number of shares	Percentage of capital <sup>(a)</sup>
<b><i>Rio Tinto plc</i></b>			
BlackRock, Inc. <sup>(b)</sup>	4 Dec 2009	127,744,871	8.38
Shining Prospect Pte. Ltd	7 Dec 2018	182,550,329	14.02 <sup>(c)</sup>
The Capital Group Companies, Inc.	21 May 2020	62,352,014	5.00
<b><i>Rio Tinto Ltd</i></b>			
BlackRock, Inc.	13 Apr 2015	See footnote <sup>(d)</sup>	See footnote <sup>(d)</sup>
BlackRock, Inc. <sup>(e)</sup>	13 Feb 2019	22,870,305	6.16
Shining Prospect Pte. Ltd	9 Feb 2018	See footnote <sup>(f)</sup>	See footnote <sup>(f)</sup>
The Vanguard Group, Inc. <sup>(g)</sup>	18 Mar 2020	22,304,083	6.01

(a) The percentage of voting rights detailed above was as disclosed in the notice received by the Company, calculated at the time of the relevant disclosures.

(b) On 1 February 2021, BlackRock, Inc. filed an Amendment to Schedule 13G with the SEC and disclosed beneficial ownership of 107,935,590 ordinary shares of Rio Tinto Plc as of 31 December 2020, representing 8.7% of that class of shares.

(c) In its notification of major holdings filed on 7 December 2018, Shining Prospect Pte. Ltd, a Singapore-based entity owned by Chinalco (Aluminium Corporation of China) disclosed that its percentage of voting rights in Rio Tinto plc had increased to 14.02% on 18 October 2018. This increase in voting rights is due to the on-market share buy-back programme of Rio Tinto plc shares and the number of shares held by Shining Prospect Pte. Ltd has remained unchanged.

(d) In its substantial holding notice filed on 13 April 2015, BlackRock, Inc. and its associates disclosed a holding of 120,174,604 shares in Rio Tinto plc and 22,330,443 shares in Rio Tinto Limited, which gave BlackRock, Inc. and its associates voting power of 7.7% in the Rio Tinto Group on a Joint Decision matter. Accordingly, in addition to being substantial shareholders of Rio Tinto plc, through the operation of the Australian Corporations Act 2001 as modified and the DLC structure, these entities are substantial shareholders of Rio Tinto Limited.

(e) On 1 February 2021, BlackRock, Inc. filed an Amendment to Schedule 13G with the SEC and disclosed beneficial ownership of 23,271,914 ordinary shares in Rio Tinto Limited as of 31 December 2020, representing 6.3% of that class of shares.

(f) In its notice of change of interests of substantial holder filed on 9 February 2018, Shining Prospect Pte. Ltd disclosed a holding of 182,550,329 shares in Rio Tinto plc which, as at 28 November 2017, accordingly, in addition to being substantial shareholders of Rio Tinto plc, through the DLC structure, these entities are substantial shareholders of Rio Tinto Limited.

(g) On 10 February 2021, The Vanguard Group, Inc. filed an Amendment to Schedule 13G with the SEC and disclosed beneficial ownership of 22,604,578 ordinary shares in Rio Tinto Limited as of 31 December 2020, representing 6.09% of that class of shares.



As far as is known, Rio Tinto plc and Rio Tinto Limited are not directly or indirectly owned or controlled by another corporation or by any government or natural person. Rio Tinto is not aware of any arrangement that may result in a change in control of Rio Tinto plc or Rio Tinto Limited. No shareholder possesses voting rights that differ from those attaching to Rio Tinto plc's and Rio Tinto Limited's securities.

Further information on Rio Tinto's regulatory news and filings can be obtained at <https://www.riotinto.com/media/regulatory-news-and-filings-4996.aspx>.